SUPREME COURT OF ARIZONA DISCIPLINARY CASES MATRIX (2000)

CASE NAME NUMBER/DATE	VIOLATIONS DESCRIPTION/ DISCIPLINARY RULES	HEARING OFFICER RECOMMEND	COMMISSION RECOMMEND	COURT SANCTION	COMMENTS
Allen, Gove L. 12/29/00 DC Nos. 97-0218 97-1279 98-1299 SB-00-0097-D	Respondent failed to provide diligent and competent representation in 2 separate matters, a guardianship and a criminal case, with a record of prior discipline. ER 1.1 ER 1.2 ER 1.3	Accept Agreement for Censure + 18 months Probation (LOMAP) + Ethics	Accept Amended Agreement for Censure + 18 months Probation (LOMAP) + Ethics +	No discretionary or sua sponte review	In aggravation: 9.22(a) (b) (c) and (i); in mitigation: 9.32(c) (d) (e) (k) and (l). Priors IR + Censure
(By Judgment)	ER 1.4 ER 1.15 ER 1.16 ER 3.2 ER 3.4 ER 8.4 ER 8.4(d)		Secure professional liability insurance		-
Allen, Steven W.	Respondent while assisting clients with their estate planning, used their	Accept Agreement for	Accept Amended	Sua sponte review declined.	No aggravating factors. In mitigation: 9.32(a)(b)
04/26/00 DC No. 97-2139	detailed net worth information to identify prospective investors for a	Censure + 2 years Probation	Agreement for 30 day	Justice Fledman voted to grant	(e) and (l).
SB-00-0025-D	business relationship he had with investment solicitors offering a timber	(LOMAP)	Suspension; upon	review stating he would have	
(By Judgment)	harvest secure loan program. Respondent invited his clients to attend informational meetings about the loan program without requisite competence to counsel his clients. Respondent further failed to ensure that his clients'		reinstatement, 2 years Probation (LOMAP)	rejected the Amended Agreement.	

investments were properly secured, failed to disclose his relationship and possible compensation with the investment solicitors and failed to inform the clients of the mishandling of their investments and financial losses occurred.

ER 1.1 ER 1.4(b) ER 1.6 ER 1.7(b) ER 1.8(a) ER 1.8(f)

Alpert, Brian D.

02/17/00 DC No. 99-1172 SB-99-0085-RD Rule 58(c) Reciprocal Discipline; Sanction is identical to discipline imposed by the Supreme Court of Illinois 5/25/99. N/A Disbarment

No discretionary or sua sponte review

(By Judgment)

Axford, Nadia B.

10/10/00 DC Nos. 92-1612, 95-0052, 96-0513, 96-3139 SB-00-0068-D

(By Judgment)

Respondent represented several clients in a various legal matters. Respondent thereafter failed to act with diligence and promptness in representing the clients, failed to keep the clients informed as to the case status, sufficiently explain their matters and allow the clients to make informed decisions regarding the objectives of representation. Respondent was also found to have charged unreasonable fees. The client in Count II and III filed a petition for fee arbitration and was awarded. Respondent was directed to

2 year Suspension & Restitution; upon reinstatement, 2 year Probation (LOMAP) 6 month + 1 day Suspension & Restitution; upon reinstatement, 2 years Probation (LOMAP) Petition for Review denied

In aggravation: 9.22(c) (d) and (i); in mitigation: 9.32(a) (b) and (c). Inability to pay outstanding judgment is not an ethical violation. refund money to the client. The client obtained a judgment for the fees.

ER 1.2 ER 1.3 ER 1.4 ER 1.4(a) ER 1.4(b) ER 1.5(a) ER 1.5(c) ER 3.1 ER 3.2 ER 8.4(d)

Bertz, James E.

Placed on interim suspension 11/08/00 N/A by Order of the Supreme Court.

N/A

Interim Suspension

11/08/00 DC No. 00-1906 SB-00-0075-D

(By Order)

Bickart, Allen B.

11/22/00 DC No. 98-1101 SB-00-0090-D

(By Judgment)

Respondent represented a client at a change of plea proceeding. Respondent provided a factual basis for his client, which the client affirmed. Afterwards, the client stated he was innocent. The Respondent then filed a motion to withdraw plea which stated that his client's plea had not been voluntary because he did not adequately consult with the client and very strenuously suggested that the client accept the plea. Respondent further overlooked his obligation to interview the confidential source.

ER 1.2 ER 1.3 ER 1.4 ER 8.4(d)

Accept
Agreement for
Censure

N/A

No discretionary or *sua sponte* review

In aggravation: 9.22(h) and (i); in mitigation: 9.32 (a) (b) (d) (e) (g) and (l).

Bradshaw, Brent B. 03/15/00 DC Nos. 97-0243 97-1752 SB-99-0084-D (By Judgment)	Respondent failed to adequately represent his clients and failed to notify parties of his suspension. His lack of diligence caused considerable delays in the proceedings of his clients and in the disciplinary process. Respondent violated orders of the court and disregarded orders of the hearing officer. Respondent further made false statements of material fact to the court and committed a criminal act by his use of narcotics. Failed to respond or cooperate with the State Bar in the investigation of these matters. ER 1.1 ER 1.3 ER 1.8(f) ER 3.2 ER 3.3 ER 3.4(c) ER 4.1 ER 8.1(b) ER 8.4(b) ER 8.4(c) ER 8.4(d) SCR 51(e) SCR 51(h) SCR 51(i) SCR 51(k) SCR 63(a)	Disbarment	Disbarment	Petition for Review denied	Conduct deemed admitted by default. In aggravation: 9.22(a)(b)(c)(d)(e)(h) and (i); no mitigation.
Carey, Jr., Harland E. 08/25/00 DC No. 99-0075 SB-00-0055-D (By Judgment)	Respondent represented a client in three separate matters. Respondent failed to diligently represent his client or to notify her that he was terminating his practice. Respondent abandoned his client and the practice of law, causing serious harm to the client including financial loss and the loss of certain legal rights. Respondent failed to respond or cooperate with the State Bar's	Disbarment Restitution	Disbarment Restitution	No discretionary or sua sponte review	Conduct deemed admitted by default. In aggravation: 9.22(a) (d) and (i); in mitigation: 9.32(b) and (c).
					4 01 34

investigation of this matter.

ER 1.2	ER 1.3	ER 1.4
ER 1.5	ER 1.16	ER 3.2
ER 8.4	SCR 51(h)	SCR 51(i)

Carragher, Michael A.

09/27/00 DC Nos. 96-1372 97-0283 SB-00-0077-D

(By Judgment)

Respondent was retained to handle a dissolution matter and thereafter appeared in court while in a suspended status. Respondent failed to notify the court, his client, or opposing counsel of his change in membership status. In another matter, Respondent was retained to handle a domestic relations matter. Respondent was advised by the client that his services were no longer necessary and the client requested a partial refund of the retainer. Respondent agreed to the refund but then failed to timely return the agreed amount.

ER 1.15 ER3.4(c) ER 5.5 ER 8.4(c) SCR 44(b)4 SCR 51(e) SCR 51(k) SCR 63(a)

Accept Agreement for Censure + 1 year Probation (6 hrs. CLE)	Accept Agreement for Censure + 1 year Probation (6 hrs. CLE)	No discretionary or sua sponte review	In aggravation: 9.22(a) (c) (d) and (i); in mitigation: 9.32(b) (d) (e) and (l)
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Creasy, Jr., Frederick C. 10/17/00 DC Nos. 93-0140 93-1509 94-0507 SB-96-0043-D	Respondent violated the order of disbarment by engaging in the practice of law. ER 31(a)(3)	N/A	N/A	Found in contempt of disbarment order and ordered to pay costs and State Bar's attorney fees.	
(By Opinion) Davis, Gaila V.	In the first matter, Respondent was retained to establish ownership of a	Disbarment Restitution	Disbarment Restitution	Petition for Review denied	In aggravation: 9.22(a)(d)(e)(f)(h) and (i); in mitigation: 0.32(d)
03/15/00 DC Nos. 97-1494	mobile home. Respondent failed to consult with her clients and abide by				in mitigation: 9.32(d) and (h).
97-2161 SB-99-0078-D	their decisions concerning the objectives of representatives; failed to act with diligence and promptness; failed to keep				
(By Judgment)	the clients reasonably informed as to the status of their case; failed to properly withdraw from representation and protect the clients' interests; engaged in conduct involving dishonesty, fraud, deceit, misrepresentation and conduct prejudicial to the administration of justice. In addition, Respondent was				

retained to defend a client against a civil action. Respondent again failed to consult with the client and abide by his decision concerning the objectives of representation; failed to act with reasonable diligence and promptness; failed to keep the client reasonably

informed; failed to diligently prosecute the client's claim; and failed to properly defend the client against the civil complaint and failed to properly prosecute a third-party complaint. Respondent also failed to respond to the State Bar's investigation of these matters.

ER 1.2 ER 1.3 ER 1.4
ER 1.15 ER 1.16 ER 3.2
ER 8.1 ER 8.4(c)
ER 8.4(d) SCR 43 SCR 44
SCR 51(b) SCR 51(h)
SCR 51(i) SCR 63(a)
SCR 63(b)

Dawson, Gregory W.

Respondent did not choose to contest or N/A defend charges, but consented to disbarment.

N/A

Consent to
Disbarment &
Restitution

07/18/00 DC Nos. 95-2286 97-0460 97-1823 97-2374 97-2660 98-0085 98-0439 98-0881 98-1572 98-1755 98-1877 98-2094 98-2099 98-2109 99-0795 99-1246 00-0310 00-0569 SB-00-0043-D

(By Order)

Dellacona, Dana M. 12/18/00 DC Nos. 97-0775 97-1077 97-1483 97-2363 SB-00-0092-D	Respondent's misconduct arose from accepting representation, performing little or no work on clients' cases and then failing to communicate with those clients. Respondent further failed to respond or cooperate with the State Bar's inquiry of these matter.	Accept Agreement for 9 month Suspension (retroactive) + Restitution	Accept Agreement for 9 month Suspension (retroactive) + Restitution	No discretionary or sua sponte review	In aggravation: 9.22(a) (c) and (d); in mitigation: 9.32(b)
(By Judgment)	ER 1.2 ER 1.3 ER 1.4 ER 1.4(a) ER 1.15 ER 1.16 ER 5.3 ER 8.1(b) ER 8.4 ER 8.4(c) ER 8.4(d) SCR 51(h) SCR 51(i)				
Distel, Eddie G. 06/12/00 DC No. 00-0680 SB-00-0027-D	Placed on interim suspension 6/12/00 by Order of the Supreme Court.	N/A	N/A	Interim Suspension	Motion for Reconsideration denied.

(By Order)

Farley, David S.

12/18/00 DC Nos. 98-0839 98-2156 SB-00-0088-D Respondent pled guilty to attempted aggravated assault (a class 4 felony) and unlawful flight from pursuing law enforcement vehicle (a class 5 felony).

ER 8.4(b) SCR 51(a)

Accept
Agreement for 3
year Suspension
(retroactive)

Accept
Agreement for 3
year Suspension
(retroactive)

No discretionary or *sua sponte* review

No aggravation; in mitigation:9.32(a) (b) (c) (e) (k) and (l).

(By Judgment)

Firestein, Charles L.

11/22/00 DC Nos. 97-0978 98-1360 SB-00-0087-D

(By Judgment)

Respondent's misconduct arose from his unauthorized practice in the U.S. Bankruptcy Court for the Southern California District without proper admittance. Respondent was admitted to the central district and believed he was admitted to all district courts in California. Once he became aware of this issue, he promptly paid the application fee and was admitted. In a subsequent matter, Respondent failed to act with diligence/promptness, failed to consult with clients, failed to keep them informed them of the status of the matter and failed to abide by their decision concerning representation. Respondent also made misrepresentations and misstatements to bar counsel.

ER 1.2 ER 1.3 ER 1.4 ER 5.5 ER 8.1 ER 8.4(c)

Accept
Agreement for
Censure + 1 year
Probation
(LOMAP)

Accept
Agreement for
Censure + 1 year
Probation
(LOMAP)

No discretionary or sua sponte review 9

In aggravation: 9.32(d) (e) (f) and (i); in mitigation: 9.32(a) and (l).

Fishbein, Mark L. 05/01/00 DC No. 00-0469 SB-00-0024-D (By Order)	Placed on interim suspension 5/29/00 by Order of the Supreme Court.	N/A	N/A	Interim Suspension	Pled guilty to one count of conspiracy to commit money laundering. Stipulation for interim suspension; practice monitor to oversee handing of trust account.
Fletcher, Philip E. 01/14/00 DC Nos. 95-0763 95-0815 96-0737 SB-99-0090-D (By Judgment)	Respondent failed to pay investigators and consultants in a timely manner. Respondent also failed to timely respond and cooperate with the State Bar. ER 1.15(b) ER 8.1(b)	Accept Agreement for Censure Probation (LOMAP + MAP); Censure Probation	Reject Agreement/ Remand; Censure Probation (LOMAP + MAP) + resume child support payments	No discretionary or sua sponte review	No aggravation or mitigation.
Flynn, Danny J. 07/28/00 DC Nos. 98-0575 98-0792 98-1063 98-2057 99-0394 99-0439 SB-00-0046-D (By Judgment)	Respondent represented one client in obtaining permanent residency and failed to perform any legal services. In another matter Respondent represented a client in a termination of parental rights and adoption matter. Respondent failed to complete representation. Respondent abandoned the clients, failed to communicate with them and failed to return unearned portions of the retainer. Respondent failed to respond or cooperate with the State Bar's inquiry of these matters. ER 1.1 ER 1.2 ER 1.3 ER 1.4	Disbarment & Restitution	Disbarment & Restitution; access to MAP	Sua Sponte review declined	Recommend the State Bar make the appropriate referral to prosecuting authorities regarding misuse of trust accounts. Conduct deemed admitted by default. In aggravation: 9.22(d) and (e); no mitigation.

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ER 1.5 ER 1.15 ER 1.16 ER 3.2 ER 8.1(b) ER 8.4(c) ER 8.4(d) SCR 51(h) SCR 51(i)

Friedman, Robert M.	N/A	N/A	Consent to Disbarment	Fee arbitration ordered. Reimbursement to client
01/28/00				protection fund.
DC Nos. 98-0175,				
98-2290, 98-2339,				
98-2568, 98-2589,				
99-0002, 99-0008,				
99-0108, 99-0618,				
99-0748, 99-0924,				
99-1086, 99-1119,				
99-1137, 99-1164,				
99-1190, 99-1198,				
99-1219, 99-1242,				
99-1292, 99-1330,				
99-1349, 99-1392				
99-1432, 99-1440,				
99-1512, 99-1687,				
99-1690, 99-1695,				
99-1845, 99-1862,				
99-1976, 99-2052,				
SB-99-0088-D				
(By Judgment)				

Gorman, Jr., William D. 07/21/00 DC Nos. 98-1941 98-2590 SB-00-0061-D	Respondent failed to adequately communicate and diligently represent those clients. Respondent failed to file the claim in one matter and to serve the defendant in another. Respondent also made 2 personal loans to one client.	Accept of Agreement for Censure + 1 year Probation (LOMAP)	Accept of Agreement for Censure + 1 year Probation (LOMAP)	No discretionary or sua sponte review	In aggravation: 9.22(a) and (d); in mitigation: 9.32(b)(c) (e) and (m).
(By Judgment)	ER 1.2 ER 1.3 ER 1.4 ER 1.8(a)				
Griffith, Gregg H. 05/05/00 DC No. 96-0586 SB-00-0038-D (By Judgment)	Respondent, while representing a client in a criminal appeal matter, failed to discuss the case and appeal issues with his client; failed to timely advise the client that his conviction was affirmed and failed to consult with his clients regarding his available options. ER 1.3 ER 1.4 ER 3.3 ER 4.1 ER 8.4	Censure Restitution	Censure Restitution	No discretionary or sua sponte review	In aggravation: 9.22(g) and (i); in mitigation: 9.32(a) and (i). Commissioner Cahill dissents.
Heldenbrand, James M. 01/13/00 DC Nos. 94-0470 94-1357 94-1818 94-2320 96-0108 SB-99-0089-D (By Judgment)	Respondent's misconduct arose from the negligent management and supervision of his employees pursuant to a business agreement with a company that would assist in the collection, garnishment and eviction of tenants for landlord and property management companies. The company was to provide office personnel, equipment, file maintenance, court scheduling, billing statements, accounts receivable, collection records and the disbursement of client funds.	Accept Agreement for Censure + 2 year Probation (LOMAP)	Accept Agreement for Censure + 2 year Probation (LOMAP)	No discretionary or sua sponte review	No factors present in aggravation; in mitigation; 9.32(a)(b)(d)(e) and (i).
					12 of 34

	ER 1.3 ER 1.4 ER 1.15 ER 5.3 ER 5.4 ER 8.4(a)				
Herbert, Joseph A. 03/23/00 DC No. 97-0752 SB-00-0014-D (By Judgment)	Respondent represented a partnership in a number of landlord tenant matters. Upon notification that his services were no longer needed, Respondent failed to turn over the client's files and failed to protect his clients upon termination. Additionally, Respondent withdrew funds from the client's account without the client's knowledge or consent. ER 1.15 ER 1.16(d)	6 month Suspension	30 day Suspension	No discretionary or sua sponte review	In aggravation: 9.22(a) (b) and (g); no mitigation.
Hessinger, Joseph J. 01/10/00 DC Nos. 97-0675 97-0845 97-1622 97-2320 97-2546 98-0212 98-0264 98-0284 98-0383 98-0535 98-0848 98-0853 98-1178 SB-99-0082-D (By Judgment)	Respondent failed to adequately represent numerous clients. He took money from 11 clients and failed to do the promised or requested work, failed to return files and retainers, failed to communicate with clients and abandoned his clients. Respondent further failed to respond to the State Bar or cooperate in the investigation. ER 1.2 ER 1.3 ER 1.4 ER 1.5 ER 1.6 ER 1.15 ER 1.16 ER 3.4(c) ER 5.1 ER 5.5 ER 8.1(b) ER 8.4 SCR 51(e) SCR 51(h) SCR 51(i) SCR 51(k)	1 year Suspension; Disbarment + Restitution	Matters consolidated; Disbarment + Restitution	No discretionary or sua sponte review	Conduct deemed admitted by default. In aggravation: 9.22(c)(d)(e) and (i); in mitigation: 9.32(c)(e) and (l). File nos. 94- 1478 et al. were dismissed.

Hineman, Phillip D.	In Count One, Respondent failed to
	diligently and competently represent a
12/18/00	client in a PCR matter. In Count Two,
DC Nos. 96-3100	Respondent and the client held divergent
98-0924 98-1364	opinions regarding how the defense
SB-00-0094-D	should proceed and ceased
	communicating effectively in a criminal
(By Judgment)	matter. Respondent's representation
(D) vaaBiiiviii)	was terminated. In Count Three,
	Respondent failed to timely respond to
	an accounting and itemized billing
	request and request for documents while
	representing a client in a criminal
	· -
	matter.
	ER 1.1 ER 1.3 ER 1.4
	ED 1 16(d) ED 2 2

Censure in file no. 96-3100, months
Diversion in file probation
nt nos. 98-0924 & (LOMAP) +
98-1364. EEP +
Professionalism
Course; No PCR
matters during
Probation.

No discretionary In aggravation: 9.32(c); in mitigation: 9.32(a) and (l).

ER 1.1 ER 1.3 ER 1.4 ER 1.16(d) ER 3.2 ER 8.4(d)

Hmielewski, Timothy J. Respondent, while grante

06/19/00 DC No. 96-1107 SB-00-0045-D

(By Judgment)

Respondent, while granted permission to practice *pro hac vice*, failed to disclose the existence of a pretrial agreement to the court. Respondent further was ordered to pay sanctions to the court for wrongfully expropriating for [his] own aims the resources of the court.

ER 3.3(a) ER 3.5 ER 4.1 ER 8.4(c) ER 8.4(d)

Censure Censure

No discretionary or *sua sponte* review

Conduct deemed admitted By default. In aggravation: 9.22(a)(e) and (g); no mitigation. Respondent should not be granted permission to practice *Pro Hac Vice* in AZ without specific finding by judge, subsequent to Respondent's affirmative duty of full disclosure in this matter.

Horton.	William	C.

05/31/00 DC Nos. 97-0817 97-1706 97-1978 97-2535 98-1025 98-1083 98-1157 98-1221 98-1306 98-1341 SB-00-0041-D

(By Judgment)

Respondent was negligent in his handling of multiple client matters. The negligence included Respondent's failure to preserve claims. Respondent failed to advise clients as the case status and to abide by clients decisions concerning representation. Respondent failed to communicate with clients and failed to pursue child support collection. Respondent also failed to return unearned fees upon termination of representation and made false statements in the course of fee arbitration. Upon request, Respondent failed to return client files and falsely stated he had. Respondent further failed to file his affidavit while suspended, pursuant to 52(c)(8) and Rule 63(d). Respondent continued to practice law while suspended and staff used office law firm letterhead in corresponding with clients. He failed to inform the court and clients of his suspension. Additionally, Respondent distributed unauthorized of IOLTA funds.

ER 1.1 ER 1.2 ER 1.3 ER 1.4 ER 1.5 ER 1.15 ER 1.15(b) ER 1.16(d) ER 3.2 ER 3.3 ER 3.4(c) ER 5.3 ER 5.5 ER 7.1(a) ER 7.5(a) Disbarment Restitution

Disbarment Restitution No discretionary or *sua sponte* review

Conduct deemed admitted by default. In aggravation: 9.22(a) (b)(c)(d)(e)(f) and (i); no mitigation

ER 8.1(a)	ER 8.4(c) ER 8.4(d)	
ER 31(a)(3)	SCR 33(c) SCR 43(d)	
SCR 51(e)	SCR 51(k) SCR 52(c)(8)
SCR 63(a)	SCR 63(d)	

Hull.	Hugh	W.

08/23/00 DC Nos. 98-2408 99-0781 SB-00-0071-D

(By Judgment)

Respondent's MCLE check was returned for insufficient funds. In another matter, Respondent failed to communicate with his clients and to file the bankruptcy petition. Respondent failed to respond to the State Bar's inquiry of this matter.

ER 1.2 ER 1.3 ER 1.4 ER 8.1(b) ER 8.4(c) SCR 51(h) SCR 51(i)

Accept Agreement for to Censure + 1 year to file Probation ent (LOMAP and s MAP) + EEP

Accept
Agreement for
Censure + 1 year
Probation
(LOMAP and
MAP) + EEP

No discretionary review In aggravation: 9.22(a) and (e); in mitigation: 9.32(e) and (1).

Hustad, James R.

09/29/00 DC Nos. 97-0576 97-0640 SB-00-0044-D

(By Judgment)

In one instance, Respondent failed to consult with his client concerning the objectives of representation, failed to keep the client reasonably informed as to the status of the case, failed to adequately safeguard funds or property belonging to the client and to properly deposit said funds into his IOLTA and failed to protect the client's interests when terminating representation. Additionally, Respondent knowingly made a false statement of material fact to the court and engaged in conduct prejudicial to the administration of iustice. In another matter, Respondent failed to act with reasonable diligence

2 Censures

Disbarment & Restitution

Sua Sponte review declined

Conduct deemed admitted by default. In aggravation: 9.22(a) (d) and (i); in mitigation: 9.32(c) and (d).

and promptness in representing the client, failed to adequate communicate with the client and engaged in conduct prejudicial to the administration of justice. Respondent further failed to respond to the State Bar's investigation of these matters.

ER 1.2	ER 1.3	ER 1.4
ER 1.15	ER 1.16(d)	ER 3.3
ER 4.1	ER 8.1(b)	ER 8.4(c)
ER 8.4(d)	SCR 43(a)	SCR 43(d)
SCR 44(a)	SCR 44(b)	SCR 51(h)
SCR 51(i)		

Ta	hacon	Rodney	C
·IO	กกรถก	. Koanev	۱t.

07/28/00 DC No. 96-1091 SB-00-0063-D

(By Judgment)

Respondent, as local counsel in a malpractice matter, failed to disclose the existence of a pre-trial agreement.

Respondent was not aware of the agreement until well into trial and therefore his ability to take corrective action was limited.

ER 3.3(a) ER 4.1

Kistler, James O.

12/28/00 DC Nos. 97-0634 99-2174 SB-00-0098-D

(By Judgment)

In Count I, Respondent continued to participate in a court proceeding while on administrative suspension.

Respondent failed to withdraw from the case or advise his client/court of his suspension. In Count II, Respondent used his old letterhead in correspondence advising another party

Accept Accept
Agreement for Agreement for
Censure; upon
reinstatement,
Probation (EEP)
Accept
Accept
Agreement for
Censure; upon
reinstatement,
Probation (EEP)

Accept

Censure

Agreement for

Accept

Censure

Agreement for

No discretionary review

No discretionary

review

In aggravation: 9.22(i); In mitigation: 9.32(a) (c)(e)(g) and (l).

In aggravation: 9.22(i),

in mitigation: 9.32(a) (b)

but offset by 9.32(a);

(e) and (l).

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of a claim pursued by a friend/tenant of Respondent. Respondent failed to advise the recipient of his suspension.

ER 5.5 ER 8.1(b) ER 8.4(d) SCR 51(e) SCR 51(h) SCR 51(i) SCR 51(k)

MacDonald, II, Theodore R.

09/20/00 DC Nos. 95-0460 96-0344 96-0609 SB-00-0021-D

(By Judgment)

Respondent failed to act with reasonable Censure diligence and promptness in representing clients in a domestic relations proceeding. Respondent failed to keep the clients informed as to the status of the case and failed to respond to requests for information. Respondent was instructed by the court to file appropriate documents evidencing an agreement and failed to do so. Respondent further failed to protect client's interests and failed to surrender client's papers in a timely manner. Respondent initially failed to cooperate with the State Bar but did so after a complaint was filed.

ER 1.3 ER 1.4 ER 3.4 ER 8.1(b) SCR 51(e) SCR 51(h) SCR 51(k)

Censure +
extend current
Probation
(LOMAP and
MAP) for 6
months

No discretionary review. State Bar's Petition for Review denied

In aggravation: 9.22(a); in mitigation: 9.32(b) (h) (j) and (i). Conduct in this instant matter occurred during same time period as Respondent's previous discipline. Conduct determined to be result of neurological disorder manifested by depression and attention deficit disorder.

McFadden, II, Robert

Respondent failed to perform services

2 year

2 year

No discretionary

Conduct deemed admitted

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L. 09/29/00 DC Nos. 99-0724 99-0974 99-0975 99-1295 99-1520 SB-00-0072-D (By Judgment)	for which her was retained. Respondent failed to communicate or respond to requests for information from clients. Respondent further failed to return unearned retainers and failed to return original documents. Additionally, Respondent engaged in the practice of law while suspended for non-payment of dues and noncompliance with MCLE. Respondent failed to respond or cooperate with the State Bar's inquiry of these matters. ER 1.2 ER 1.3 ER 1.4 ER 5.5 ER 8.1(b) ER 1.16(d) SCR 51(h) SCR 51(i)	Suspension + Restitution	Suspension + Restitution	or sua sponte review	by default. In aggravation: 9.22(d) (e) and (i); in mitigation: 9.32(a).
Merchant, Courtland S. 08/25/00 DC No. 98-2026 SB-00-0057-D (By Judgment)	Respondent knowingly failed to comply with the rules of the tribunal and failed to comply with the court's order when she failed to fulfill her court ordered duties as an arbitrator, and then knowingly failed to appear as ordered at the Order to Show Cause hearing. Respondent further failed to respond or cooperate with the State Bar's investigation of this matter. ER 3.4(c) ER 8.1(b) ER 8.4 SCR 51(e) SCR 51(h) SCR 51(i) SCR 51(k)	6 month + 1 day Suspension + Restitution	6 month + 1 day Suspension + Restitution	No discretionary or sua sponte review	Conduct deemed admitted by default. In aggravation: 9.22(d) and (e); in mitigation: 9.32(a) and (k)

Mettler, Jr., William R. 10/04/00 DC No. 97-1497 SB-00-0059-D (By Judgment) Meyer, Scott R.	Respondent over a one year period converted client funds from his trust account totaling approximately \$18,000.00 ER 1.15 SCR 43 SCR 44	30 day Suspension + 3 year Probation (LOMAP & MAP) + CLE + Participation in solo practitioner section of the State Bar	30 day Suspension + 2 year Probation (LOMAP & MAP) + CLE + Participation in solo practitioner section of the State Bar	No discretionary review. State Bar's Petition for Review denied.	In aggravation: 9.32(b) (c) and (i); in mitigation: 9.32(c) (d) (e) (g) (h) (i) (l) and (m). Significant mitigation present with direct causation established between misconduct and physical disability which was compounded by mental impairment.
09/29/00 DC Nos. 98-1078 98-1367 SB-00-0073-D (By Judgment)	Respondent was retained to handle a personal injury matter. Respondent failed to communicate with his client. In another matter, Respondent was retained to defend a client in a civil suit. Respondent failed to communicate with the client and failed to appear at a hearing. In a third matter, Respondent filed pleadings with the court while suspended from the practice of law. Respondent further failed to respond or cooperate with the State Bar's inquiry of these matters. ER 1.1 ER 1.2 ER 1.3 ER 1.4 ER 5.5 ER 8.1(b) SCR 51(h) SCR 51(i)	Disbarment	Disbarment Restitution	No discretionary or sua sponte review	Conduct deemed admitted by default. In aggravation: 9.22(a) (c) (d) (e) (g) and (i); no mitigation.

Miers, Donna L.

07/11/00 DC No. 96-1631 SB-00-0056-D

(By Judgment)

While representing a client in a dependency matter, Respondent failed to appear and failed to file an agreement of the parties. Respondent then filed a procedurally inaccurate and frivolous motion for contempt. After the motion for contempt was denied, Respondent filed a motion for a change of judge. The judge denied the motion, as it was frivolous and based on misleading affidavit. Respondent subsequently filed a frivolous appeal challenging the denial and then filed a frivolous request for the Supreme Court review to the Court of Appeal's Order.

1 year Suspension

Censure; upon reinstatement, 1 year Probation (LOMAP) PM + (Professionalism course) No discretionary or *sua sponte* review

Conduct deemed admitted by default. In aggravation: 9.22(e) and (g); in mitigation: 9.32(a) and (f).

ER 1.1 ER 3.1 ER 8.4(d)

Moore, William E.

11/03/00 DC Nos. 97-0341 97-1158 97-1485 97-2296 SB-00-0078-D

(By Judgment)

Respondent engaged in multiple acts of negligence involving multiple clients and failed to respond or cooperate with the State Bar's investigation of these matters. In Count One, Respondent failed to fulfill duties as a court arbitrator and was removed by the court. In Count two, Respondent was retained to represent a client in a DUI matter. Respondent failed to communicate with the client and failed to appear for scheduled hearings. His inaction caused bench warrants to be issued for the client's arrest. Respondent also moved and failed to

Accept
Agreement for 2
year Suspension
(retroactive) +
Restitution; upon
reinstatement 1
year Probation
(LOMAP)

Accept
Agreement for 2
year Suspension
(retroactive) +
Restitution; upon
reinstatement 1
year Probation
(LOMAP)

No discretionary or sua sponte review

In aggravation: 9.22(c) (d) and (i); in mitigation: 9.32(a) (c) (e) (g) and (h). To a lesser degree (physical disability). Respondent voluntarily removed himself from the practice of law.

notify clients and failed to return unearned retainers. In Count three, respondent was retained in a DUI matter. Respondent failed to act with reasonable diligence and promptness, failed to communicate and failed to appear for hearings and court appearances. Respondent further failed to advise the client that his motor vehicle license was suspended and caused an arrest warrant to be issued. Respondent failed to abide by the client's decisions concerning representation, failed to execute a plea by mail, and failed to return the unearned retainer. In Count four, Respondent failed to appear for an arbitration hearing or advise the clients of a hearing and subsequently of an adverse award. New counsel was hired to set the award aside and Respondent agreed pay attorney's fees, but did not.

ER 1.2 ER 1.3 ER 1.4 ER 1.16 ER 3.2 ER 3.4(c) ER 8.1(b) ER 8.4 ER 8.4(d) SCR 51(e) SCR 51(h) SCR 51(i) SCR 51(k)

Murphy, Jeffrey A.

Placed on interim suspension 04/21/00 by Order of the Supreme Court.

N/A

Interim Suspension

04/21/00 DC No. 00-0447 SB-00-0018-D

Murray, David B.

DC No. 99-1657

SB-00-0013-RD

(By Order)

03/23/00

Rule 58(c) Reciprocal Discipline;

Sanction is identical to discipline imposed by the Supreme Court of

California 11/19/98.

N/A

N/A

Disbarment

No discretionary or sua sponte

review

(By Judgment)

Murray Stanley D.

12/18/00 DC Nos. 97-2165 98-1862 SB-00-0093-D

(By Judgment)

In one matter, Respondent represented an elderly and destitute client as a defendant in a civil action and was unsuccessful. A judgment was awarded the plaintiff and the client sold her mobile home to satisfy the judgment. Respondent oversaw additional installment payments on the remaining judgment balance and deposited those payments into his personal business account instead of his trust account. Payments on the judgment were not made on a timely basis and some payments were not credited due to insufficient funds, which was caused by Respondent's errors in balancing his

Accept
Agreement for 6
month
Suspension;
upon
reinstatement 2
year Probation
(LOMAP) +
(EEP)

Accept
Agreement for 6
month
Suspension;
upon
reinstatement 2
year Probation
(LOMAP) +
(EEP)

No discretionary or *sua sponte* review

In aggravation: 9.22(a) (c) and (i); in mitigation: 9.32(c) (d) (e) and (m).

business account. In another matter, Respondent represented a client in a personal injury matter and won a judgment. After negotiating a reduction in the medical lien, respondent retained the difference for approximately 10 weeks.

ER 1.15 SCR 43 SCR 44

Musselman, Dennis J. 07/28/00 DC No. 99-2075 SB-00-0051-RD (By Judgment)	Rule 58(c) Reciprocal Discipline; Sanction is identical to discipline imposed by the Fourth Judicial District of Utah.	N/A	2 year Suspension	No discretionary or sua sponte review	
Neuzil, Charles H. 06/01/00 DC Nos. 97-1663 97-2177 97-2624 98-0118 98-0256 98-0558 SB-00-0033-D (By Judgment)	Respondent in several matters failed to adequately communicate with clients and failed to respond to their requests for information and files. Respondent also failed to diligently pursue the clients' interests. Respondent further failed to provide an accounting of services rendered and failed to return unearned retainers. ER 1.1 ER 1.2 ER 1.3 ER 1.4 ER 1.5 ER 1.15 ER 1.16(d) ER 8.4 SCR 51(e) SCR 51(k)	Accept Agreement for 1 year Suspension + Restitution	Accept Agreement for 1 year Suspension + Restitution	No discretionary or sua sponte review	In aggravation: 9.22(a) (c) and (d); in mitigation: 9.32 (b)(c) and (e).

Olds, Russell S. 11/22/00 DC Nos. 98-2561 99-0131 99-1707 SB-00-0089-D (By Judgment)	In a three count complaint, Respondent was negligent in his supervision of staff which resulted in poor services to clients and advertisements that violated Supreme Court Rules. ER 1.3 ER 5.3(b) ER 5.5(b) ER 7.1(a) ER 7.1(g)	Accept Agreement for Censure + Probation (LOMAP)	Accept Agreement for Censure + extend 1 year Probation (consecutive) (LOMAP)	No discretionary or sua sponte review	Conduct deemed admitted default. In aggravation: 9.22(a)(d); in mitigation: 9.32(b)(c) and (e)
Phelps, Jack L. 02/17/00 DC Nos. 97-1225 97-1564 97-1701 97-2026 97-2512 SB-00-0003-D (By Judgment)	Respondent in a six count complaint, failed to communicate with multiple clients, failed to provide services on behalf of said clients and failed to inform the clients of the status of their case. Respondent also relocated his practice and failed to advise his clients and the court of the new location. Respondent further failed to respond or cooperate with the State Bar's inquiry of these matters.	Disbarment & Restitution	Disbarment & Restitution	No discretionary or sua sponte review	Conduct deemed admitted by default. In aggravation: 9.22(a) (c)(d) and (j); no mitigation.
	ER 1.2 ER 1.3 ER 1.4 ER 1.16(d) ER 4.4 ER 8.1(b) ER 8.4 ER 8.4(c) ER 8.4(d) SCR 31(c)(3) SCR 51(h) SCR 51(i)				

Pillinger, Thomas C. 07/28/00 DC No. 95-0202 SB-00-0047-D (By Judgment)	Respondent was found guilty of two counts of misdemeanor assault, one count of threatening and intimidation, and one count of criminal damage. Respondent later pled guilty to a violation of his criminal probation and was found guilty of driving on an administratively canceled driver's license. ER 8.4 SCR 51(a) SCR 51(e) SCR 51(k)	1 year Suspension	6 month + 1 day Suspension	No discretionary or sua sponte review	Conduct deemed admitted by default. In aggravation: 9.22(e); in mitigation: 9.32(a).
Prince, Philip M. 02/17/00 DC No. 97-2186 SB-99-0091-D (By Judgment)	While under suspension for nonpayment of dues, Respondent filed a notice of appearance and substantive pleadings in an attempt to assist a childhood friend with a domestic relations matter probono. ER 5.5 ER 8.1(b) SCR 51(e) SCR 51(h) SCR 51(i) SCR 51(k)	Accept Agreement for 6 month Suspension (retroactive)	Accept Agreement for 6 month Suspension (retroactive)	No discretionary or sua sponte review	In aggravation: 9.22(i); in mitigation: 9.32(a). Rule 71(c) suspended.
Roberson, Robert J. 09/14/00 DC No. 98-0736 SB-00-0074-D (By Judgment)	Respondent was appointed to represent a client in a post conviction relief matter. Respondent failed to communicate and advise his client as to the status of the case. The client's post conviction issues were preserved by subsequent counsel. The Hearing Officer determined Respondent's lack of diligence could have caused the client harm. ER 1.3 ER 1.4 ER 8.1(b)	Accept Agreement for Censure Probation (LOMAP) + EEP	Accept Agreement for Censure + 2 year Probation (LOMAP) + EEP	No discretionary or sua sponte review	In aggravation: 9.22(a) (c) (e) and (i); in mitigation: 9.32(b) and (e).
					26 of 34

Rogers, Randy C. 07/28/00 DC Nos. 97-0526 97-1795 SB-00-0050-D (By Judgment)	Respondent was retained to handle a domestic relations matter. Respondent performed some legal services; however, the client informed Respondent that he had reconciled with his spouse and requested a refund of the unused portion of his retainer. Respondent thereafter failed to abide by the client's decision concerning the objectives of his representation, failed to communicate with the client, failed to keep the client reasonably informed as to the case status, failed to comply with reasonable requests for information, an accounting and partial refund. Respondent also charged an unreasonable fee and failed to protect the client's interest. ER 1.2 ER 1.3 ER 1.4 ER 1.5(a) ER 1.15(b) ER 1.16(d) ER 8.1(b) SCR 43(d) SCR 44(a) SCR 51(h) SCR 51(i)	6 month + 1 day Suspension + Restitution; upon reinstatement 2 year Probation (PM)	1 year Suspension + Restitution; upon reinstatement 2 years Probation (LOMAP & MAP)	No discretionary or sua sponte review	Conduct deemed admitted By default. In aggravation: 9.22(a) (c) (d) and (e); no mitigation.
Rothstein, William I. 04/28/00 DC No. 98-1716 SB-00-0036-D	Respondent while representing clients in avoiding foreclosure of their real and personal property, improperly notarized documents he signed on the client's behalf.	N/A	Accept Agreement for Censure + Probation (EEP)	No discretionary or sua sponte review	No factors present in aggravation; in mitigation: 9.32 (b)(e)(g)(l) and (m).

(By Judgment)

ER 4.1 ER 8.4(c) ER 8.4(d)

Roylston, George R. 05/31/00 DC Nos. 96-2092 97-0863 97-1153 97-1719 97-1778 97-2410 97-2424 97-2638 97-2720 98-0345 98-0725 98-0763 98-1017 98-1068 98-1182 98-1277 98-1349 98-1377 98-1349 98-1377 98-1384 98-1394 98-1530 98-1530 98-1587 98-1696 98-1796 98-1796 98-1956 SB-00-0039-D	Respondent was retained to represent several clients and committed numerous ethical violations as detailed in the 25-count complaint. Respondent failed to competently and diligently represent clients' interests; failed to adequately communicate with his clients and failed to advise them as to the status of their cases. In a post conviction relief matter, Respondent rendered ineffective assistance of counsel. Upon termination of the representation, Respondent failed to return records and turn over transcripts, despite being ordered to do so by the court. In another matter, Respondent misappropriated funds, forged the client's name on the settlement check; failed to account for her funds and made material misrepresentations to the client and the State Bar. Respondent filed pleadings and made court appearances during his suspension for nonpayment of dues and filed an affidavit stating he had not practiced law during his suspension. Respondent further failed to properly maintain his trust account and obtained court transcripts under false pretenses. Respondent also failed to return unearned retainers and cooperate with	Disbarment + Restitution	Disbarment + Restitution	No discretionary or sua sponte review	Conduct deemed admitted by default. In aggravation: 9.22(a)(c)(d)(e)(g)(i) and (j); no mitigation
(By Judgment)	the State Bar in the investigation of these matters.				
(1) sudmitted					

ER 1.1	ER 1.2	ER 1.3
ER 1.4	ER 1.5	ER 1.7
ER 1.8(a)	ER 1.15	ER 1.16
ER 1.16(d)	ER 3.2	ER 3.3
ER 5.5	ER 8.1(a)	ER 8.1(b)
ER 8.4	SCR 31	SCR 43
SCR 44	SCR 51(e)	SCR 51(h)
SCR 51(i)	SCR 51(k)	SCR 63

Savoy, John E.

08/28/00 DC No. 98-1042 SB-00-0070-D

(By Judgment)

While suspended, Respondent prepared a last will and testament for a client and subsequently transmitted the documents to devisees from the will under his legal letterhead. Respondent received no compensation for this service, as the client was a longtime friend and was aware of Respondent's suspension.

ER 5.5 SCR 51(e) SCR 51(k)

Accept
Agreement for
Censure

Accept Agreement for Censure No discretionary or *sua sponte* review

In aggravation: Commissic notes the presence of 9.22(a); in mitigation: 9.32(b) and (e).

Shank, Christopher G.B. 08/14/00 DC No. 00-1753 SB-00-0067-D (By Order)	Placed on interim suspension by Order of the Supreme Court on 08/14/00 for a class 2 felony conviction for sexual exploitation of a minor and a class 6 felony conviction for sexual conduct with a minor.	N/A	N/A	Interim Suspension	
Silkey, Sr., John P. 12/08/00 DC No. 00-1850 SB-00-0069-D (By Order)	Placed on interim suspension 12/08/00 by Order of the Supreme Court.	N/A	N/A	Interim Suspension	
Sill, Henry F. 04/26/00 DC Nos. 95-2024 96-1173 SB-00-0026-D (By Judgment)	Respondent represented a client in a divorce proceeding. Respondent failed to return the client's phone calls and respond to facsimile transmissions and failed to return the file upon request to subsequent counsel. The client incurred additional attorney's fees and costs to recompile and duplicate the file. In a second matter, Respondent represented a client in a spousal maintenance matter. Respondent failed to communicate to the client the status of the case. Respondent failed to respond	N/A	Accept Amended Agreement for 6 month Suspension retroactive + Restitution + 1 year Probation (LOMAP)	No discretionary or sua sponte review	In aggravation: 9.22(a)(c)(d)(e) and (i); in mitigation:9.32(b)(c) (e)(h)(j) and (l). Rule 71(c) suspended.

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or cooperate with the State Bar in the investigation of these matters.

ER 1.4 ER 1.16(d) ER 8.1(b) ER 8.4(d) SCR 51(h) SCR 51(i)

Sorenson, Cole D

Placed on interim suspension by Order of the Supreme Court on 10/04/00.

N/A N/A

Interim Suspension

Sua sponte

review declined

10/04/00 DC Nos. 00-1454 SB-00-0060-D

(By Order)

02/15/00

Summers, J	<u> John A.</u>
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DC Nos. 96-1881

SB-00-0004-D

(By Judgment)

97-0004

97-0242

97-1041

97-2298

97-2324 98-0198 Respondent failed to communicate with his clients and failed to diligently pursue their legal matters. His actions caused harm to his clients, some in the form of adverse rulings. Respondent further failed to respond to the State Bar's investigation of this matter.

ER 1.1	ER 1.2	ER 1.3
ER 1.4	ER 1.5	ER 1.15
ER 1.16(d)	ER 3.2	ER 3.4(c)
ER 8.1(b)	ER 8.4	ER 8.4(d)
SCR 51(h)	SCR 51(i)	

Accept
Agreement for 2
year Suspension
(retroactive) +
Restitution upon
reinstatement, 1
year Probation
(MAP +
LOMAP)

Accept
Agreement for 2
year Suspension
(retroactive) +
Restitution; upon
reinstatement, 1
year Probation
(MAP +
LOMAP)

In aggravation: 9.22(d); in mitigation: 9.32(b)(c) (d)(h)(i) and (k).

Tandy, Marshall D. 04/21/00 DC No. 00-0480 SB-00-0020-D	Placed on interim suspension 04/21/00 by Order of the Supreme Court.	N/A	N/A	Interim Suspension	
(By Order)					
Tandy, Marshall D.	Respondent choose not to contest or defend charges, but choose to consent	N/A	N/A	Consent to Disbarment	
05/23/00 DC No. 99-0916 SB-00-0042-D	to disbarment				
Toledo, Gustavo	Respondent in nine separate matters failed to communicate with and to	Accept Agreement for 3 year Suspension (retroactive); upon reinstatement, 1 year Probation (LOMAP & MAP)	Accept Agreement for 3 year Suspension (retroactive); upon reinstatement, 1 year Probation (LOMAP & MAP)	No discretionary or sua sponte review	In aggravation: 9.22(c)(d) and (h); 9.22(a) is present but conduct occurred during same time as this instant matter and product of same circumstances; in mitigation:9.32(a)(b)(c)(e) (h)(i) and (l).
11/08/00 DC Nos. 95-0829 96-1357 97-1137 97-1213 97-1267 97-1343 97-1940 97-2303 98-0224 SB-00-0079-D (By Judgment)	provide competent representation to clients. In most instances after being paid a retainer, Respondent did not provide the promised representation and to the extent that he did provide representation, it was largely inadequate. Respondent further failed to respond or cooperate with the State Bar's investigation of these matters.				
	ER 1.1 ER 1.2 ER 1.3 ER 1.4 ER 1.5 ER 1.15 ER 1.16 ER 1.16(d) ER 8.1(b) ER 8.4 ER 8.4(c) ER 8.4(d) SCR 43 SCR 44 SCR 51(e) SCR 51(h) SCR 51(i) SCR 51(k)				

Valenzuela, Mario V.

02/14/00 DC No. 98-1934 SB-00-0011-D

(By Judgment)

Respondent represented a client in a personal injury matter. The fee agreement granted the Respondent a power of attorney to settle the case if the client became unavailable for any reason during the course of the case. The client became incarcerated and later housed in the psychiatric unit of the State Hospital. Respondent was unsuccessful in contacting his client and later learned the client had been deemed mentally incompetent. Respondent feared the case would languish and signed his client's name to the release, and his own name and his client's name on the settlement draft. Respondent then allowed a notary public to notarize the release, which falsely stated the client had personally appeared before her for signature.

N/A

Accept Agreement for Censure No discretionary or *sua sponte* review

In aggravation: 9.22(a) and (i); in mitigation: 9.32(b)(e)(l) and (m).

ER 4.1(a) ER 8.4(c)

Whitten Samuel V.

12/18/00 DC Nos. 97-2033 98-0431 98-1566 99-0407 SB-00-0086-D Respondent was retained to handle a divorce and after accepting a retainer, performed little or no services for the client. Respondent billed the client for time he spent helping the client move from the marital residence. Respondent was also retained in an employment discrimination matter and thereafter

18 month Suspension in files 97-2033, 98-0431 and 98-1566; Censure in file 99-0407 2 year Suspension + Restitution

No discretionary sion + or sua sponte review

Conduct deemed admitted by default. Matters consolidated. In aggravation: 9.22(a) (c) (d) and (e); in mitigatio 9.32(f). (By Judgment)

abandoned his office and practice, and failed to notify clients. Additionally, Respondent failed to provide an accounting of costs, failed to return the client's file, failed to follow-up on a particular report and failed to provide the client with a copy of the motion for summary judgment. In the last matter, Respondent was retained in a custody matter. Respondent thereafter performed little or no work on the case and failed to communicate with the client. In file no. 99-0407, Respondent was retained for representation in a dissolution proceeding. At the conclusion Respondent was to forward a quick claim deed to opposing counsel. Respondent failed to forward the deed and the client's attempts to contact the Respondent regarding this matter were unsuccessful. In all of these matters Respondent failed to respond or cooperate with the State Bar's inquiry.

ER 1.2 ER 1.3 ER 1.4 ER 1.5 ER 1.15 ER 1.16 ER 1.16(d) ER 8.1(b) ER 8.4 SCR 51(h) SCR 51(i)